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Sir:

Transmitted herewith for filing is the Patent Application of:

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For: PORTABLE ELECTRONIC DEVICE UPDATED VIA BROADCAST CHANNEL

Enclosed are:

- ☒ 13 Pages of Specification.
- ☒ 2 Sheets of Informal Drawings.
- ☒ Declaration and Power of Attorney is attached to the application.
- ☒ Certified copy of European Patent application 99118373.2 filed on 16 September 1999.
- ☒ Assignment and cover sheet
- ☒ Associate Power of Attorney

The filing fee has been calculated as shown below:

|  |           | (Col. 1) | (Col. 2)  | OTHER THAN A<br>SMALL ENTITY |           |
|--|-----------|----------|-----------|------------------------------|-----------|
| FOR:                                   | NO. FILED |          | NO. EXTRA | RATE                         | FEE       |
| BASIC FEE                              |           |          |           |                              | \$ 690.00 |
| TOTAL CLAIMS                           | 37- 20 =  |          | 17        | X \$ 18 =                    | \$ 306.00 |
| INDEP CLAIMS                           | 5- 3 =    |          | 2         | X \$ 78 =                    | \$ 156.00 |
| ___ MULTIPLE DEPENDENT CLAIM PRESENTED |           |          |           | + \$ 260=                    | \$ .00    |
|  |           |          |           | TOTAL                        | \$1152.00 |

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\_\_\_\_ Any patent application processing fees under 35 CFR 1.17.

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# **Portable Electronic Device Updated via Broadcast Channel**

## **Field of the Invention**

This invention relates to a method and device for updating a system, and in particular, to a portable device that receives content via a broadcast channel.

## **Background of the Invention**

The prior art includes two different categories of systems. These include systems such as electronic books which receive substantially static content (e.g., books, music, movies, newspapers and magazines) from a specialized server via a serial link, line-of-sight link, or telephone line and systems (such as car radios) which receive small size information (e.g. travel information) via a broadcast channel. Such systems are described in U.S. Patent Nos. 5,761,485 to Munyan and No. 5,339,091 to Yamazaki *et al*, the contents of which are incorporated herein by reference. The size of these messages, however, is limited due to bandwidth constraints.

In Europe, the normal radio signal provides additional digital traffic messages (TMC), which are received and stored in a car radio for later retrieval. The Philips<sup>TM</sup> car radio « CARIN 520 »<sup>TM</sup>, for example, has a voice synthesizer that reads relevant traffic information to the driver of a car. Such a TMC-enabled radio comprises a decoder which decodes the received TMC messages.

Current electronic books (« e-books ») such as the « ROCKET EBOOK », the « SOFTBOOK ELECTRONIC TABLET », or the « EVERYBOOK ELECTRONIC BOOK » constrain the user by requiring the use of either a modem and a phone line to connect directly or over the Internet to a special server or the use of a serial connection (cable-based or infrared) to a host PC running a special « librarian » program for downloading media to the e-book.

Therefore, what is needed is a system and method that updates a device with static media and which does not rely on direct or line-of-sight connection for updating purposes, but which can be updated by other means not requiring a constrained locational relationship between the receiving unit and the transmitting unit.

[illegible]

In a feature of the invention, a smart card is used for metered access to content distributed via a broadcast medium.

The above brief description, as well as further objects, features and advantages of the present invention will be more fully appreciated by reference to the following detailed description of the presently preferred but nonetheless illustrative embodiments in accordance with the present invention when taken in conjunction with the accompanying drawings.

FIG. 2 is a flow chart illustrating the method of the invention.

There exist many examples of media of a non-interactive and generally static nature (e.g., electronic editions of newspapers, magazines, books, music and movies), in that they include pages which do not change due to a consumer input. Books, music and movies are examples of long-term static media, whereas newspapers and magazines are examples of short-term static media.

With non-interactive, static media that is of an appeal to a wide audience, the broadcast over a broadcast channel of this media, and the subsequent reception and decoding by a user, provides the user with generally appealing content while eliminating the need that the user establish constrained one-to-one connections. The more users there are which have the hardware to receive such broadcasts, the more efficient such a means of distributing digital content becomes.

Now referring to FIG. 1, the invention is a system 10 by which a user may receive generally static and non-interactive media using an electronic device such as an electronic book (« e-book ») 12. Such media includes digitized audio data, program-associated data such as program titles, program notes, CD cover images, and pure data broadcast using a Digital Audio Broadcast Transmitter (« DAT ») 14. The e-book 12 includes a CPU 16, a storage medium 18, a display 20, a user interface 22 (such as buttons or a touch screen for inputting navigation commands), a storage/retrieval device such as a floppy disc drive 24 by which programs and supplemental literature may be loaded to and unloaded from the device, and a Digital Audio Broadcast Receiver 26 (« DAR ») which receives and decodes the digital signal.

The DAT 14 broadcasts content to device 12. The digital audio broadcast provides dynamically changing channel configurations : after identification of the nature of the media being transmitted, the likely available excess bandwidth is calculated and the channel dynamically adapted to such media. For example, when music is being transmitted, the full bandwidth of the channel is required. However, if merely the news is being broadcast, the allocated bandwidth can be substantially reduced, thus providing sufficient bandwidth for transient subchannels, which utilize the residual bandwidth.

The DAR 26 is a radio receiver which extracts and delivers a digital data stream from a broadcast channel. Optionally, the radio connection is used as the only communication channel for the e-book. 12.

In an alternate embodiment, the broadcast includes content identifiers associated with the type-media broadcast. The e-book 12 includes a screening device 40 which, using appropriate software 42, blocks and permits the downloading of certain broadcasts according to a profile derived from a user questionnaire or by direct and selective control of the user. Information is

« pushed » to the user. The user uses his user profile or specific reception or program requests to limit the media which can be « pushed » to his display.

Alternatively, the screening device 40 scans the broadcast channel periodically for specific content and, depending on the priority of the information indicated by the user, presents the information to the user via the display 20.

In a particular embodiment utilizing the screening device 40 of the invention, the e-book 12 is a portable data base of medical records used by physicians and nurses in a hospital or clinic environment. The broadcast medium updates medical records of a patient, while the physician is going about his normal business of visiting other patients. The updated records may then be selectively displayed to physicians.

In another embodiment of the invention, the e-book 12 includes a smart card reader 46 and processing software, in order to provide metered access to the broadcast media. Although some media may be transmitted free of charge to users (e.g., newspapers financed by advertising), other media may now be provided only on a subscription basis. Because the broadcast model is inherently a one-to-many relationship in which there is no feedback channel, the publisher of a magazine, for example, cannot directly control access to the distributed media and neither can he charge for it directly. Therefore, having a means of obtaining payment by conventional channels is essential, such as by post or by modem connection to the accounting service of the entity providing the broadcast. A smart card 50, having a cryptographic decryption key 52 encoded thereon, provides this means when, for example, one of the following representative payment methods is used.

In a first representative payment method, the broadcaster of, for example, a newspaper, may charge a set fee for unlimited access of the information, for an unlimited period. This would involve the broadcaster providing the user with a decryption key 52, for example, encoded on the smart card 50, or permitting the use of an existing key. The decryption key 52 is used to decrypt the encrypted content broadcast to the user. Although such a system is simple, hackers would likely take advantage of the simplicity and break the code after a short period of time. Therefore, the Broadcaster should be expected to change the encryption key periodically so as to minimize the likelihood of decoding through hacking. A subscriber simply purchases a smart card 50 encoded with the key 52 for the current period. The broadcast is broadcast with the matching

key, thus enabling those having the proper key 52 to decode the broadcast, without limitations. Once this period ends, the broadcaster changes the encryption code, thus preventing further access using the decryption key 52 encoded on the expired smart card 50 and necessitating the purchase by the user of a new card having the new matching key encoded thereon. However, this method has limitations, in that the cost of the service cannot be associated with the frequency of usage during the period of validity of the smart card 50.

In another representative payment method, the publisher likewise encrypts part or all of the media to be distributed with a cryptographic key. To subscribe to broadcast media, the user obtains a smart card 50 from the publisher (or uses a previously obtained smart card) which contains a cryptographic key 52 suitable for decrypting the broadcast media. Optionally, each cryptographic decryption key 52 on the smart card 50 is associated with a count registered in a counter 54. The e-book 12 then uses the smart card 50 to decrypt the encrypted broadcast content. In case a counter 54 is associated with the cryptographic decryption key 52 on the smart card 50, the smart card itself will decrement that counter each time a new issue (e.g. marked through appropriate header information) is decrypted. Optionally, the counter 54 is decremented for each decryption process. Once the counter 54 is null, the subscription becomes invalid and must be renewed by acquiring a counter-recharge or a new cryptographic decryption key 52. A smart card 50 with a null counter is no longer able to decrypt the broadcast content. Payment to the broadcaster could then be paid out of a pool, paid into an association including all or most broadcasters as members. A rating system could then determine the distribution of funds from this pool to each broadcaster. However, other means of payment are possible.

Optionally, debiting with the smart card 50 can be based upon a fee for each page downloaded (in which the counter 54 is decremented for each page downloaded). Further, a credit card may also be used and charged according to connect time in a manner known in the art, provided that an optional backchannel to charge the credit card is available.

Referring now to FIG. 2, using the system 10 of the invention, the method 60 of the invention includes the following steps. In a first step 62, the system 10 broadcasts the DAB including static media over a certain broadcast area. In a second optional step 64, using a scanning device (which is optionally always powered on so as to maximize the reception of the DAR), the system 10 scans the DAB for desired content which matches a user profile, a specific







## Claims

1. A electronic device for receiving broadcast media comprising:
  - a central processing unit (CPU);
  - a storage medium;
  - 5 a display;
  - a user interface; and
  - a digital audio broadcast receiver which receives a digital signal transmitted by a digital audio transmitter and decodes the received digital signal for use by the CPU.
2. The device of claim 1 wherein the device comprises an electronic book.
- 10 3. The device of claim 1 wherein the device comprises a portable database of medical records stored at said storage medium and wherein the digital broadcast transmitted by the digital audio transmitter updates said medical records of a patient.
4. The device of claim 4 further comprising display processing mean for selectively displaying updated records.
- 15 5. The device of claim 1 including a smart card reader and processing software, which permits a means of payment to the broadcaster of said digital signal from said digital audio transmitter.
6. The device of claim 1 wherein the digital audio broadcast receiver is a radio receiver which extracts and delivers a digital data stream from a broadcast channel.
7. The device of claim 1 wherein the device further includes a storage and retrieval device.
- 20 8. The device of claim 1 wherein the device further comprises a smart card reader and associated smart card data processing software for handling a smart card.

9. The device of claim 8 wherein the smart card has a cryptographic decryption key stored thereon for providing metered access to the broadcast media.

10. The device of claim 9 further comprising a counter and wherein the cryptographic decryption key on the smart card is associated with a count and wherein the counter decrements the count  
5 each time at least part of the digital signal is decrypted.

11. The device of claim 9 wherein the counter is decremented for each page downloaded.

12. A system for handling broadcast media comprising:

a transmitter comprising a broadcast server for transmitting a digital audio broadcast; and  
an electronic device comprising a central processing unit (CPU), a storage medium; a

10 display; a user interface; and a digital audio broadcast receiver which receives a digital signal transmitted by a digital audio transmitter and decodes the received digital signal for use by the CPU.

13. The system of claim 12 wherein the digital audio transmitter broadcasts dynamically changing channel configurations in which channel bandwidth is dynamically adapted to the broadcast media  
15 being transmitted.

14. The system of claim 13 wherein the channel configuration is dynamically changed by identifying broadcast media which is not likely to require all available bandwidth, calculating excess available bandwidth, and allocating the excess in a manner which provides sufficient bandwidth for transient subchannels.

20 15. The system according to claim 12 wherein the digital audio broadcast includes content identifiers associated with the type of media broadcast; wherein the device includes a screening device which selectively permits the downloading of broadcasts according to said content identifiers.

16. The system of claim 12, wherein the device further comprises means to periodically scan a broadcast channel for specific content.

17. The system of claim 12 wherein the electronic device further comprises a smart card reader and associated smart card data processing software for handling a smart card.

5 18. The system of claim 17 wherein the smart card has a cryptographic decryption key stored thereon for providing metered access to the broadcast media.

19. The system of claim 18 further comprising a counter and wherein the cryptographic decryption key on the smart card is associated with a count and wherein the counter decrements the count each time at least part of the digital signal is decrypted.

10 20. The system of claim 17 wherein the smart card reader and associated smart card data processing software enable payment to the broadcaster whereby the broadcaster broadcasts an encrypted signal and charges a set fee for a smart card having a decryption key encoded thereon and for which the smart card reader and associated smart card data processing software are capable of decrypting the signal only during a certain period of time.

15 21. The system of claim 20 wherein, when inserted in a smart card reader of the device, the smart card enables unlimited access to the broadcast signal only during the certain period of time.

22. The system of claim 21 wherein the broadcast media is transmitted with the matching key, thus enabling only those having the proper decryption key to decode the broadcast media.

23. A method of providing broadcast media for updating the contents of a portable electronic  
20 device, the method comprising the steps of:

broadcasting a digital audio signal over a broadcast range;  
receiving the signal at a digital audio transmitter;  
decoding the digital audio signal to obtain update data; and

updating the contents of the portable electronic device using said update data.

24. The method of claim 23 further comprising a smart card to meter access to the broadcast media.

25. The method of claim 23 wherein the static media comprises media of a non-interactive nature  
5 selected from the group consisting of electronic editions of newspapers, magazines, books, movies, digitized audio data, program-associated data such as program titles, program notes, CD cover images, and pure data.

26. A method for providing broadcast media comprising the steps of :  
10 broadcasting a digital audio broadcast over a broadcast range;  
receiving the signal at a digital audio transmitter; and  
decoding the digital audio signal.

27. The method of claim 26 further comprising scanning the digital audio broadcast for desired media content.

28. The method of claim 27 wherein said scanning for desired media content comprises  
15 attempting to match at least one of a user profile, a specific user request or a subscription.

29. The method of claim 26 further comprising encrypting said signal prior to broadcasting.

30. The method of claim 29 further comprising decrypting at least a portion of the broadcast.

31. The method of claim 30 wherein the decrypting comprises using a decryption key encoded  
20 on a smart card.

32. The method of claim 26 further comprising registering the broadcast received.

33. The method of claim 26 further comprising associating a debit with the broadcast received for billing purposes.

34. The method of claim 26 wherein the digital audio broadcast includes content identifiers associated with the type of media broadcast and further comprising the step of screening to  
5 selectively permit the downloading of broadcasts according to said content identifiers.

35. The method of claim 26 further comprising said digital audio transmitter broadcasting dynamically changing channel configurations in which channel bandwidth is dynamically adapted to the broadcast media being transmitted.

36. The system of claim 35 wherein the channel configuration is dynamically changed by  
10 identifying broadcast media which is not likely to require all available bandwidth, calculating excess available bandwidth, and allocating the excess in a manner which provides sufficient bandwidth for transient subchannels.

37. A program storage device readable by machine, tangibly embodying a program of instructions executable by the machine to perform method steps for updating the contents of a  
15 portable electronic device, the method comprising the steps of:  
receiving a digital audio signal at a digital audio transmitter;  
decoding the digital audio signal to obtain update data; and  
updating the contents of the portable electronic device using said update data.

[illegible]

|   | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 | 25 | 26 | 27 | 28 | 29 | 30 | 31 | 32 | 33 | 34 | 35 | 36 | 37 | 38 | 39 | 40 | 41 | 42 | 43 | 44 | 45 | 46 | 47 | 48 | 49 | 50 | 51 | 52 | 53 | 54 | 55 | 56 | 57 | 58 | 59 | 60 | 61 | 62 | 63 | 64 | 65 | 66 | 67 | 68 | 69 | 70 | 71 | 72 | 73 | 74 | 75 | 76 | 77 | 78 | 79 | 80 | 81 | 82 | 83 | 84 | 85 | 86 | 87 | 88 | 89 | 90 | 91 | 92 | 93 | 94 | 95 | 96 | 97 | 98 | 99 | 100 |
|---|---|---|---|---|---|---|---|---|---|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|-----|
| 0 | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 | 25 | 26 | 27 | 28 | 29 | 30 | 31 | 32 | 33 | 34 | 35 | 36 | 37 | 38 | 39 | 40 | 41 | 42 | 43 | 44 | 45 | 46 | 47 | 48 | 49 | 50 | 51 | 52 | 53 | 54 | 55 | 56 | 57 | 58 | 59 | 60 | 61 | 62 | 63 | 64 | 65 | 66 | 67 | 68 | 69 | 70 | 71 | 72 | 73 | 74 | 75 | 76 | 77 | 78 | 79 | 80 | 81 | 82 | 83 | 84 | 85 | 86 | 87 | 88 | 89 | 90 | 91 | 92 | 93 | 94 | 95 | 96 | 97 | 98 | 99 | 100 |

[illegible]

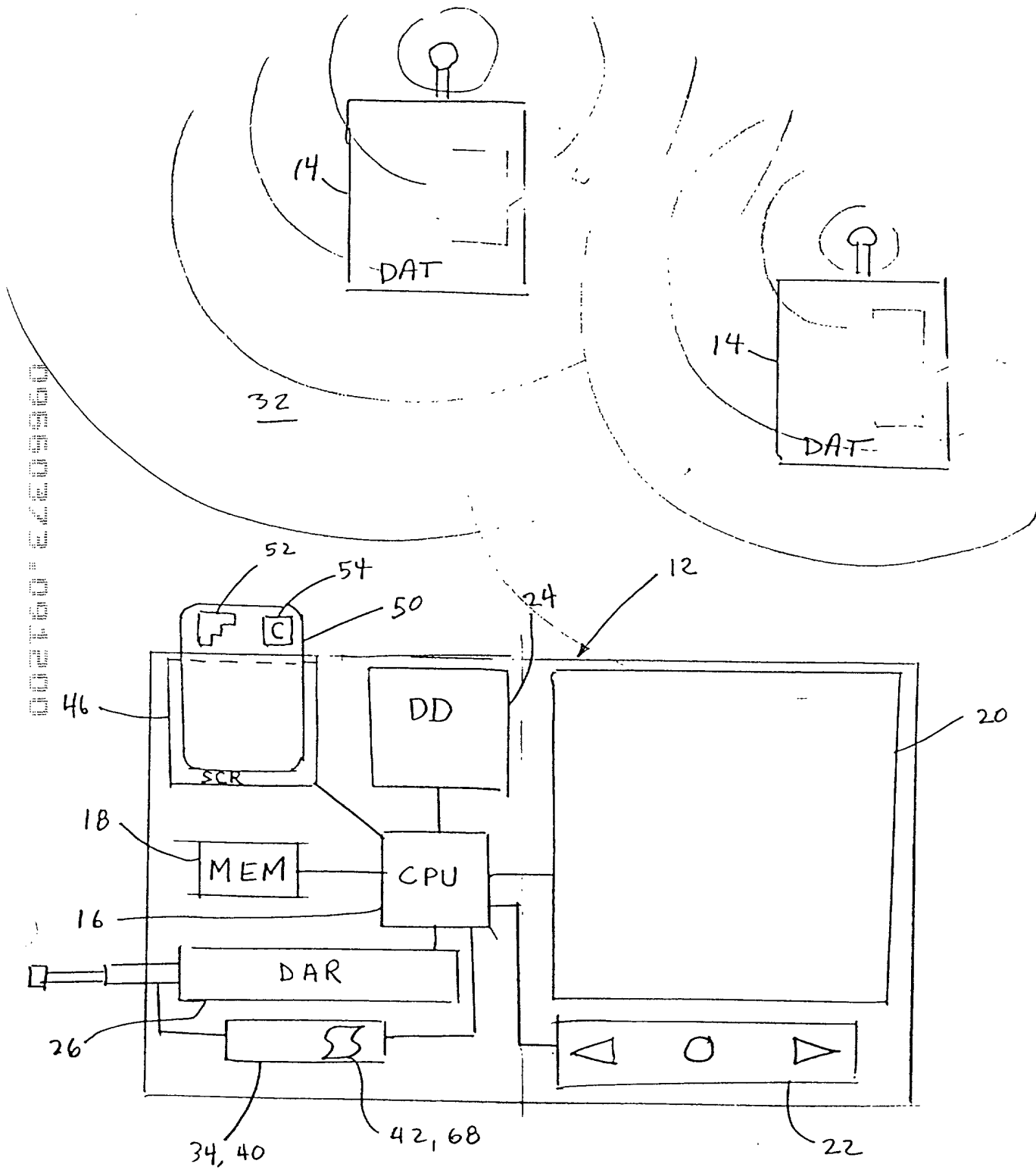


FIG. 1



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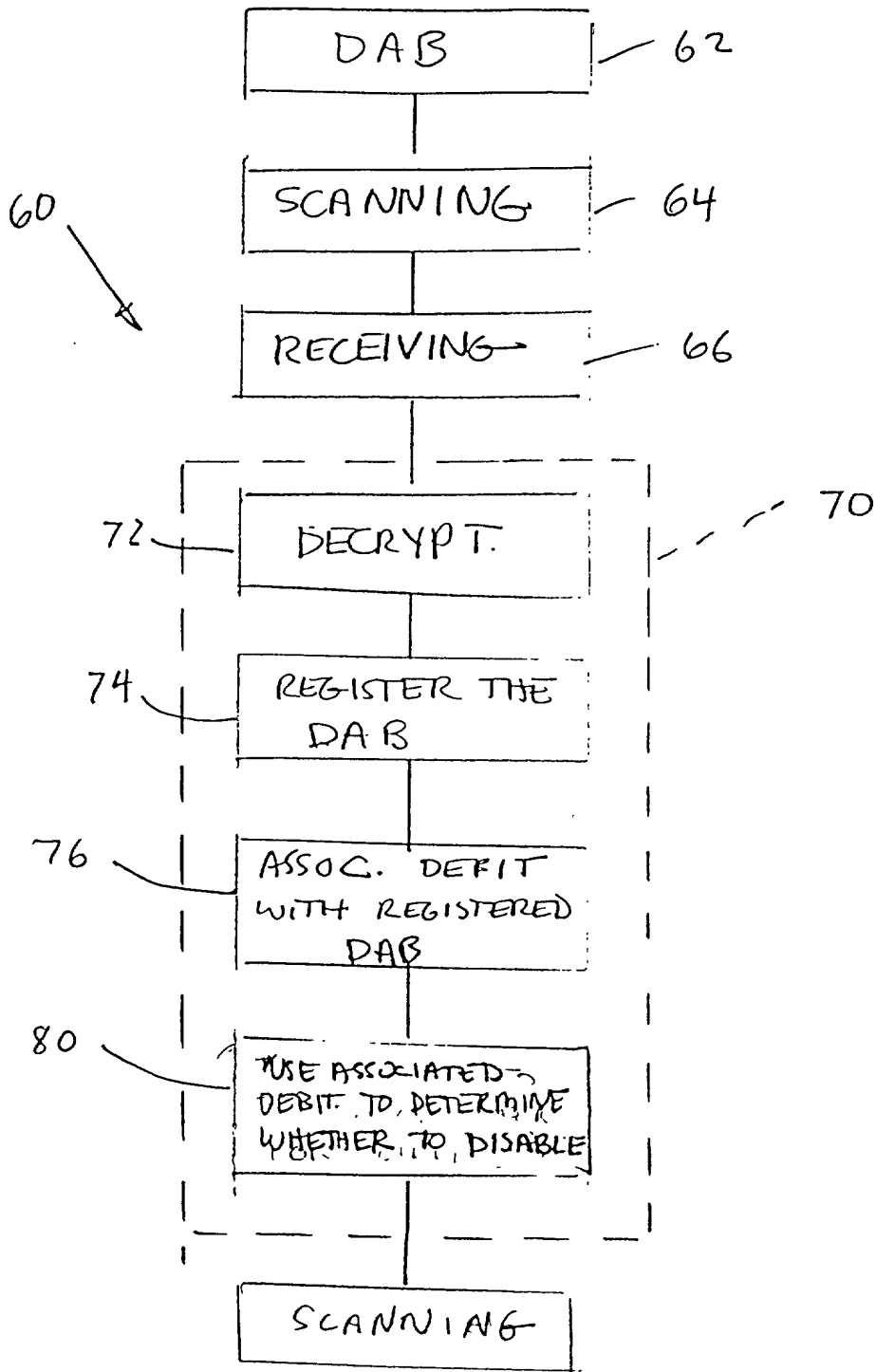


FIG. 2

# DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

PORTABLE ELECTRONIC DEVICE UPDATED VIA BROADCAST CHANNEL

The Specification of which (check one)

☐ is attached hereto.

☐ was filed on \_\_\_\_\_ as United States Application Number \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

☒ I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application, having a filing date before that of the application on which priority is claimed:

| Prior Foreign Application(s)   | Priority Claimed  |
|--|---|
| <u>99118373.2</u> <u>European Patent Office</u> <u>16 September 1999</u> | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |
| (Number)   | (Country)   |
|  | (Day/Month/Year Filed)  |

☐ I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

| (Application Number) | (Filing Date) |
|----------------------|---------------|
|                      |               |

☐ I hereby claim the benefit under 35 U.S.C. §120 of any United States Application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States, or PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose information material to the patentability of this application as defined in 37 CFR §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

| (Application Serial No.) | (Filing Date) | (Status) (patented, pending, abandoned) |
|--------------------------|---------------|---|
|                          |               |   |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number).

Manny W. Schecter (Reg. 31,722), Terry J. Iardi (Reg. 29,936), Christopher A. Hughes (Reg. 26,914), Edward A. Pennington (Reg. 32,588), John E. Hoel (Reg. 26,279), Joseph C. Redmond, Jr. (Reg. 18,753), Kevin M. Jordan (Reg. 40,277), Stephen C. Kaufman (Reg. 29,551), Jay P. Sbrollini (Reg. 36,266), David M. Shofi (Reg. 39,835), Louis P. Herzberg (Reg. 41,500), Daniel P. Morris (Reg. 32,053), Paul J. Otterstedt (Reg. 37,411), Douglas W. Cameron (Reg. 31,596), Wayne Ellenbogen (Reg. 43,602), and Robert M. Trepp (Reg. 25,933).

